

# *SimulationsPlus*

## Applying Mechanistic PBPK Modeling and Simulations to Support Regulatory Interactions



*Canadian Society for Pharmaceutical Sciences*

*Société canadienne des sciences pharmaceutiques*

Toronto, May 26, 2023

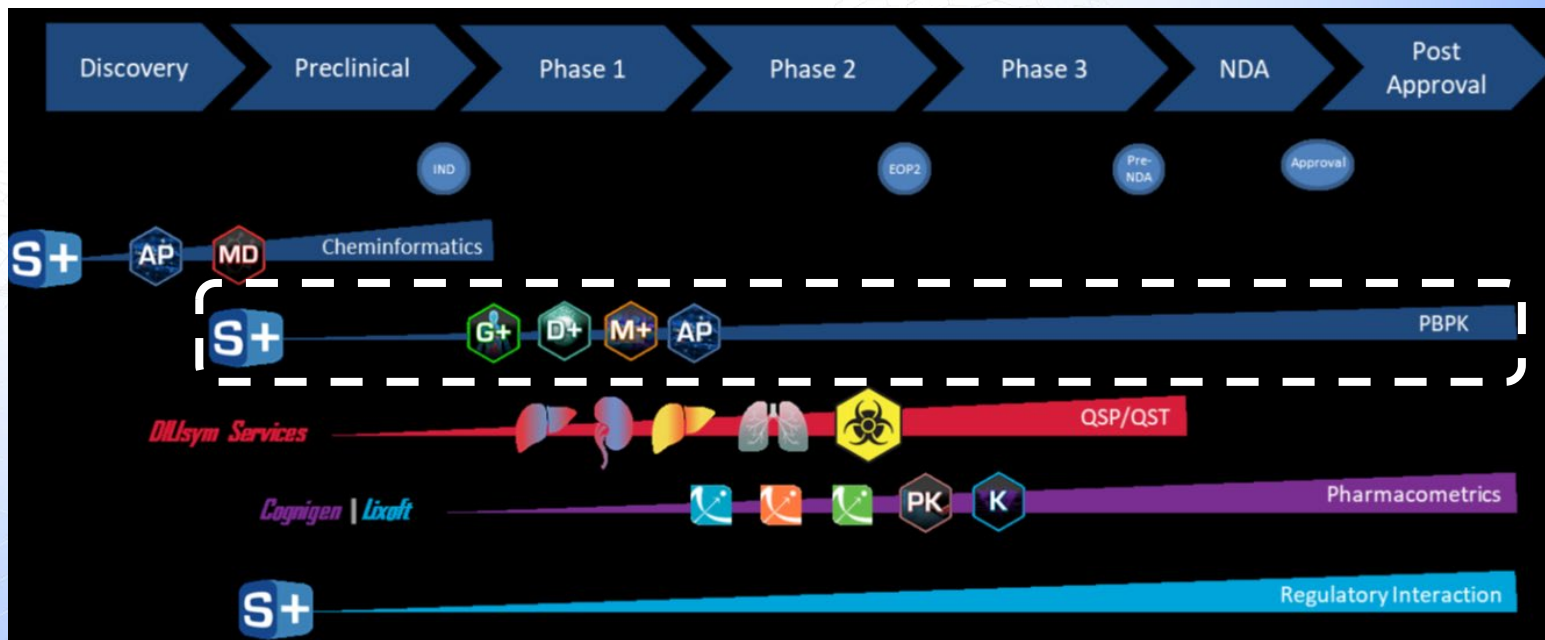
Jasmina Novakovic  
Simulations Plus Inc



# Physiologically-Based Pharmacokinetic (PBPK) Modeling is a Tool/Component of Model-Informed Drug Development (MIDD)

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6966181/pdf/PSP4-9-21.pdf>

# MIDD: Disciplines/Tools



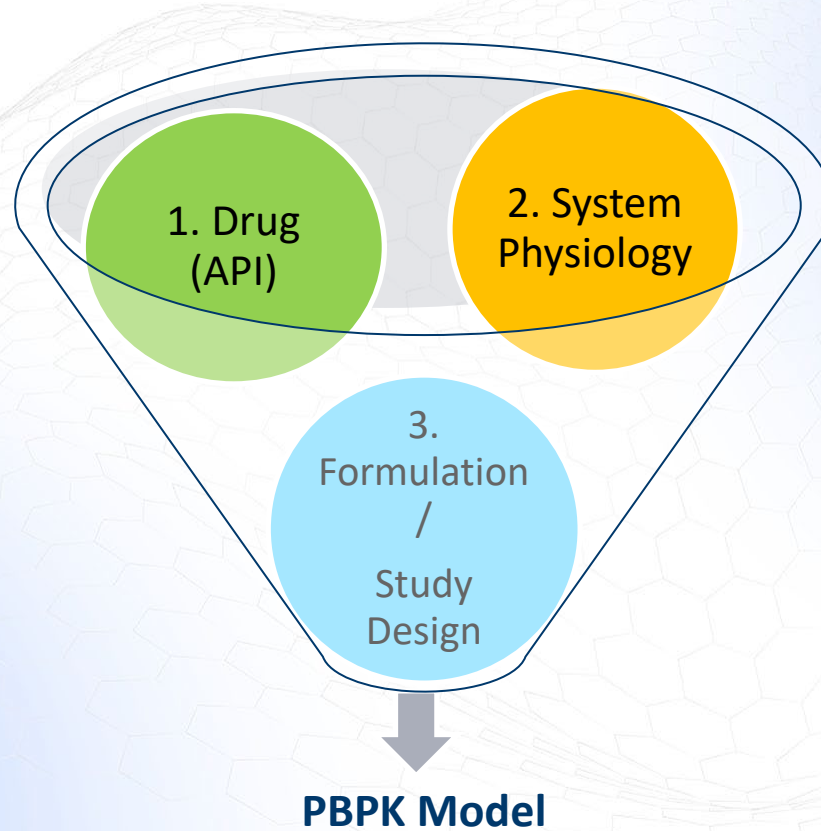
“Model-informed drug development (MIDD) tools including physiologically based pharmacokinetic (PBPK) modeling can improve the mechanistic understanding of a drug’s pharmacology and potentially translate into development efficiencies.”

Kuemmel et al, CPT, 2020

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6966181/pdf/PSP4-9-21.pdf>

AP = ADMET Predictor  
 MD = Medchem Designer  
 G+ = GastroPlus  
 D+ = DDD Plus  
 M+ = MembranePlus

# PBPK Building Blocks



# PBPK Building Blocks - Modeling Input

## 1. Drug (API) Data

Structure →  
ADMET Pred.

*In vitro*  
Experiments

### API:

- logP/logD
- pKa(s)
- Solubility
- Permeability
- Fup
- B/P ratio
- CLint or Km & Vmax, renal CL
- DDI interaction constants (Ki & kinact, EC50 & Emax)
- .....

## 3. Formulation Data/Trial Design

Formulation - Dose,  
dosage form, particle  
size,  
release profile

**PBPK Model**

Fa%

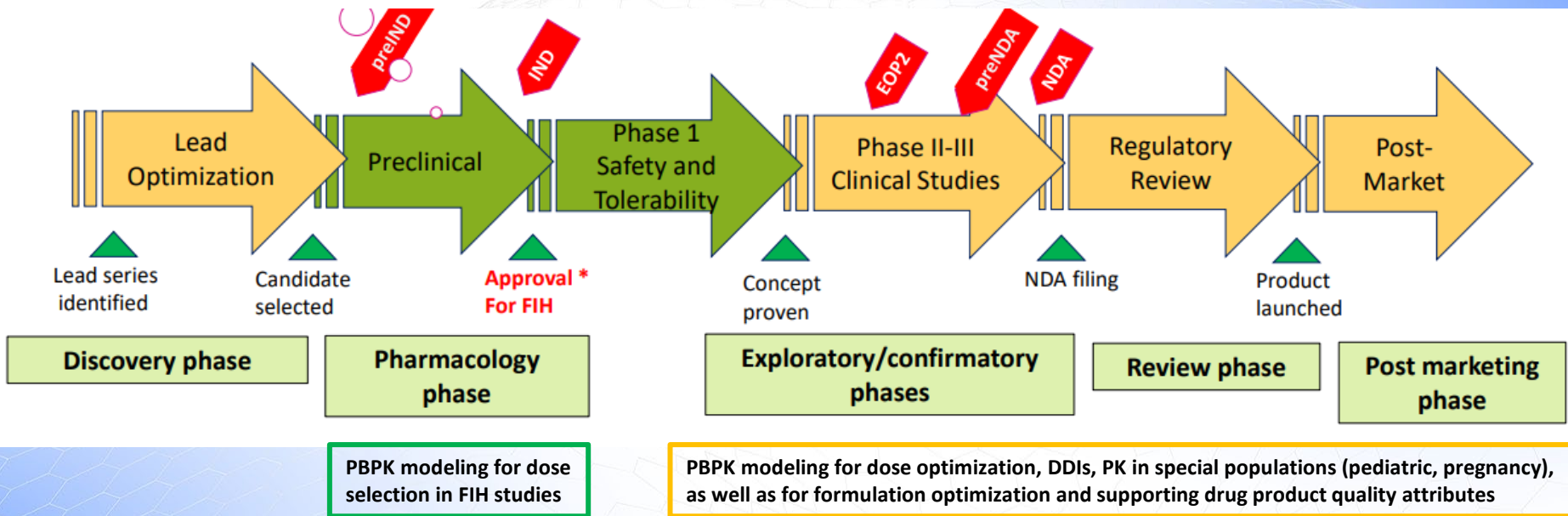
Cp-time profile (and F% with PBPK)  
Nonlinear kinetics (and DDI)  
PK in special populations

## 2. System Data (Physiology)

### System/Physiology:

- Body height, weight, BMI
- Tissue sizes & blood flows
- Tissue compositions (water, lipid, protein, acidic phospholipids, etc.)
- Intestinal fluid volume and composition (pH, bile salts, etc.)
- Intestinal transit times
- Enzyme & transporter expression levels
- .....

# Drug Development Pathway: Opportunities for PBPK



Regulatory authorities across the globe encourage utilization of PBPK during drug development pathway.

preIND = Pre-investigational new drug application; EOP2= End-of-phase 2 and pre-phase 3; preNDA =Pre-new drug application

# PBPK in Regulatory Guidance Documents

# PBPK in FDA Guidance Documents

## Physiologically Based Pharmacokinetic Analyses — Format and Content Guidance for Industry

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
August 2018  
Clinical Pharmacology

<https://www.fda.gov/regulatory-information/search-fda-guidance-documents/physiologically-based-pharmacokinetic-analyses-format-and-content-guidance-industry>

## The Use of Physiologically Based Pharmacokinetic Analyses — Biopharmaceutics Applications for Oral Drug Product Development, Manufacturing Changes, and Controls Guidance for Industry

### DRAFT GUIDANCE

This guidance document is being distributed for comment purposes only.

Comments and suggestions regarding this draft document should be submitted within 60 days of publication in the *Federal Register* of the notice announcing the availability of the draft guidance. Submit electronic comments to <https://www.regulations.gov>. Submit written comments to the Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852. All comments should be identified with the docket number listed in the notice of availability that publishes in the *Federal Register*.

For questions regarding this draft document, contact Paul Sco at 301-796-4874.

### PBBM

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
October 2020  
Pharmaceutical Quality/CMC

<https://www.fda.gov/regulatory-information/search-fda-guidance-documents/use-physiologically-based-pharmacokinetic-analyses-biopharmaceutics-applications-oral-drug-product>

## Evaluation of Gastric pH-Dependent Drug Interactions With Acid-Reducing Agents: Study Design, Data Analysis, and Clinical Implications Guidance for Industry

Physiologically based PK (PBPK) simulations: In conjunction with the assessment framework outlined in Figure 1, PBPK simulations can sometimes be used to further assess the potential for pH-dependent DDIs. PBPK approaches can also be useful to inform clinical study designs. The applications of PBPK are still evolving and are

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
March 2023  
Clinical Pharmacology

<https://www.fda.gov/regulatory-information/search-fda-guidance-documents/evaluation-gastric-ph-dependent-drug-interactions-acid-reducing-agents-study-design-data-analysis>

## Clinical Drug Interaction Studies — Cytochrome P450 Enzyme- and Transporter-Mediated Drug Interactions Guidance for Industry


U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
January 2020  
Clinical Pharmacology

Physiologically based pharmacokinetic (PBPK) models can be used in lieu of some prospective DDI studies. For example, PBPK models have predicted the impact of weak and moderate inhibitors on the substrates of some CYP isoforms (e.g., CYP2D6, CYP3A) as well as the impact of weak and moderate inducers on CYP3A substrates.<sup>3,4,7</sup> These predictions were made after

<https://www.fda.gov/regulatory-information/search-fda-guidance-documents/clinical-drug-interaction-studies-cytochrome-p450-enzyme-and-transporter-mediated-drug-interactions>



# PBPK in Guidance Documents from Other Jurisdictions

  
**EUROPEAN MEDICINES AGENCY**  
 SCIENCE · MEDICINES · HEALTH

13 December 2018  
EMA/CHMP/458101/2016  
Committee for Medicinal Products for Human Use (CHMP)

**Guideline on the reporting of physiologically based pharmacokinetic (PBPK) modelling and simulation**

<b>Draft agreed by Modelling and Simulation Working Group</b>	April 2016
<b>Draft agreed by Pharmacokinetics Working Party</b>	May 2016
<b>Adopted by CHMP for release for consultation</b>	21 July 2016
<b>Start of public consultation</b>	29 July 2016
<b>End of consultation (deadline for comments)</b>	31 January 2017
<b>Agreed by Modelling and Simulation Working Group</b>	October 2018
<b>Agreed by Pharmacokinetics Working Party</b>	October 2018
<b>Adopted by CHMP</b>	13 December 2018
<b>Date of coming into effect</b>	1 July 2019

**Keywords**    pharmacokinetics, modelling, simulation, qualification, predictive performance

Provisional Translation (as of February 2021)\*

PSEHB PED Notification No. 1221-1  
December 21, 2020

To: Director of Prefectural Department of Health

Director of Pharmaceutical Evaluation Division,  
Pharmaceutical Safety and Environmental Health Bureau,  
Ministry of Health, Labour and Welfare  
(Official seal omitted)

**Guidelines for Analysis Reports Involving Physiologically based Pharmacokinetic Models**

In recent years, much attention is being given to drug development strategies that use modeling & simulation (M&S) based on mathematical models in an attempt to predict relationships of pharmacokinetics, pharmacological action, and the efficacy or safety following administration of drug products. One of the M&S techniques is an analysis using a physiologically based pharmacokinetic (PBPK) model by incorporating information such as human physiology, and biochemical and physicochemical information of the drug into the model. A PBPK model is a useful technique for investigating drug interactions, predicting pharmacokinetics in special populations (e.g., pediatric), and determining dosage and regimen.


Taking account of the recent increase in the use of PBPK analyses to support marketing applications, Ministry of Health, Labour and Welfare has prepared "Guidelines for Analysis Reports Involving Physiologically based Pharmacokinetic Models," to enable a sponsor or applicant to report PBPK analyses appropriately. We ask you to inform manufacturers and sellers placed under your administration to utilize this for their business operations.


This guideline provides points to consider and basic principles in preparing analysis reports involving PBPK models in drug development as described in the Introduction. The guideline is based on the current scientific knowledge. When a new finding is obtained through advancement in academic knowledge, science, and technology, please take a flexible approach based on sound scientific decision together with the guideline.

\* This English version of the Japanese Notification is provided for reference purposes only. In the event of any inconsistency between the Japanese original and the English translation, the former shall prevail.

Guidance document on the characterisation, validation and reporting of Physiologically Based Kinetic (PBK) models for regulatory purposes

Series on Testing and Assessment  
No. 331



  
 ORGANIZATION FOR ECONOMIC COOPERATION AND DEVELOPMENT

  
**Australian Government**  
 Department of Health and Aged Care  
 Therapeutic Goods Administration

**International scientific guideline: Reporting of physiologically based pharmacokinetic (PBPK) modelling and simulation**

EMA/CHMP/458101/2016 adopted by the Therapeutic Goods Administration (TGA)

[https://www.ema.europa.eu/en/documents/scientific-guideline/guideline-reporting-physiologically-based-pharmacokinetic-pbpk-modelling-simulation\\_en.pdf](https://www.ema.europa.eu/en/documents/scientific-guideline/guideline-reporting-physiologically-based-pharmacokinetic-pbpk-modelling-simulation_en.pdf)

[000239317.pdf \(pmda.go.jp\)](https://www.pmda.go.jp/000239317.pdf)

<https://www.oecd.org/chemicalsafety/risk-assessment/guidance-document-on-the-characterisation-validation-and-reporting-of-physiologically-based-kinetic-models-for-regulatory-purposes.pdf>

<https://www.tga.gov.au/resources/resource/international-scientific-guidelines/international-scientific-guideline-reporting-physiologically-based-pharmacokinetic-pbpk-modelling-and-simulation>

The Organization for Economic Cooperation and Development

# PBPK & New Drugs Approval

# PBPK in Regulatory Decision Making: New Drugs Approvals (FDA)

## Application of PBPK Modeling and Simulation for Regulatory Decision Making and Its Impact on US Prescribing Information: An Update on the 2018-2019 Submissions to the US FDA's Office of Clinical Pharmacology

Xinyuan Zhang, PhD, Yuching Yang, PhD, Manuela Grimstein, PhD, Jianghong Fan, PhD, Joseph A. Grillo, PharmD, Shiew-Mei Huang, PhD, Hao Zhu, PhD, and Yaning Wang, PhD

The Journal of Clinical Pharmacology  
2020, 60(5): 5160-5179  
Published 2020. This article is a U.S.  
Government work and is in the  
public domain in the USA  
DOI: 10.1002/jcph.1767

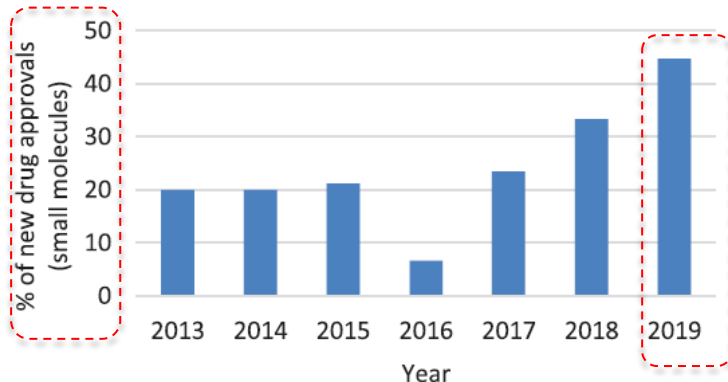


Figure 2. Percentage of new drug approvals containing physiologically based pharmacokinetics (2013-2019).

<https://pubmed.ncbi.nlm.nih.gov/33205429/>

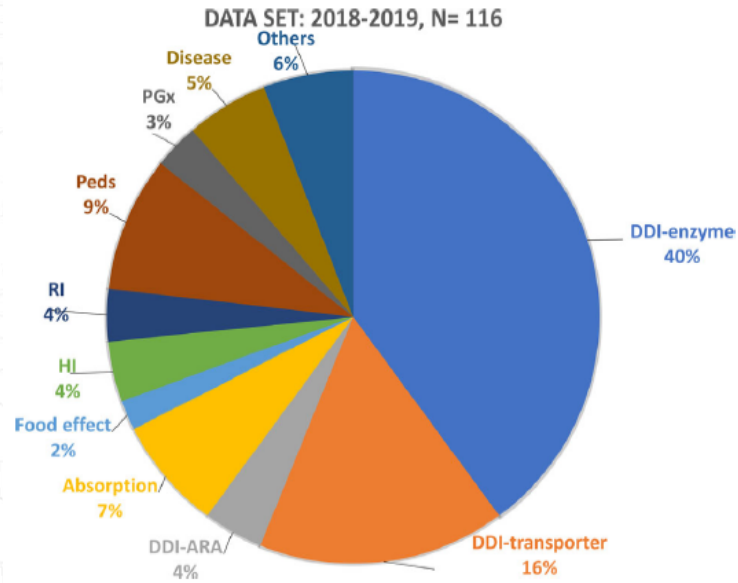


Figure 3. Distribution of physiologically based pharmacokinetic submissions by application areas (2018-2019). DDI-ARA, acid-reducing agent-mediated drug-drug interaction; DDI-enzyme, enzyme-mediated drug-drug interaction; DDI-transporter, transporter-mediated drug-drug interaction; HI, hepatic impairment; peds, pediatrics; PGx, pharmacogenomics; RI, renal impairment.

# DDI Case Study – Rivoceranib Example

## Our Consulting Project, Presented at ASCPT2023

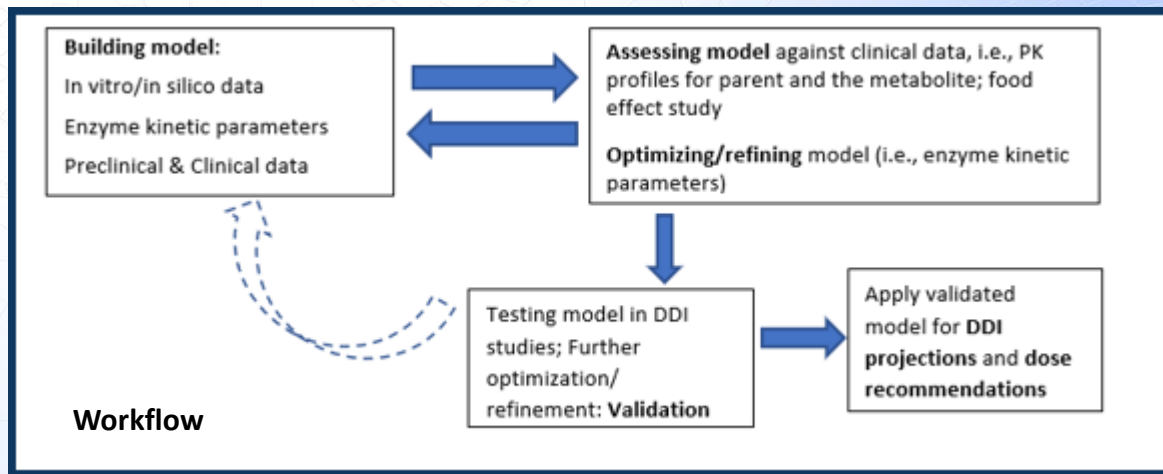
### Physiologically Based Absorption and Pharmacokinetic Model for Rivoceranib and its Main Metabolite to Assess Potential CYP3A4-Mediated DDI Risk

Jasmina Novakovic<sup>a</sup>, Grace Fraczkiwicz<sup>a</sup>, Seong H Jang<sup>b</sup>, Jeff Heckman<sup>b</sup>, Bill Strickland<sup>b</sup>, Mingyan Zhou<sup>c</sup>, Nassim Djebli<sup>c</sup>

<sup>a</sup>Simulations Plus, Lancaster, CA; <sup>b</sup>Elevor Therapeutics, Salt Lake City, UT; <sup>c</sup>Luzsana Biotechnology, Princeton, NJ

#### Objective

A validated PBPK model is used *in lieu* of clinical studies to assess the risk of CYP3A4-mediated DDIs for an anticancer drug rivoceranib (victim) with weak/moderate CYP3A4 inhibitors (ranitidine/fluconazole), and with a moderate CYP3A4 inducer (efavirenz).



[https://www.simulations-plus.com/wp-content/uploads/ASCPT2023\\_Poster\\_March\\_02\\_2023.FINAL\\_.pdf](https://www.simulations-plus.com/wp-content/uploads/ASCPT2023_Poster_March_02_2023.FINAL_.pdf)

# **PBPK Modeling Focused on Biopharmaceutics Applications (PBBM)**

# PBBM Regulatory Impact

The AAPS Journal (2021) 23: 31  
DOI: 10.1208/s12248-021-00564-2



Commentary

## Biopharmaceutics Applications of Physiologically Based Pharmacokinetic Absorption Modeling and Simulation in Regulatory Submissions to the U.S. Food and Drug Administration for New Drugs

Fang Wu,<sup>1,2,9</sup> Heta Shah,<sup>3</sup> Min Li,<sup>1</sup> Peng Duan,<sup>3</sup> Ping Zhao,<sup>4,5</sup> Sandra Suarez,<sup>3</sup> Kimberly Raines,<sup>1</sup> Yang Zhao,<sup>1,6</sup> Meng Wang,<sup>1,7</sup> Ho-pi Lin,<sup>3</sup> John Duan,<sup>3</sup> Lawrence Yu,<sup>8</sup> and Paul Seo<sup>1,9</sup>

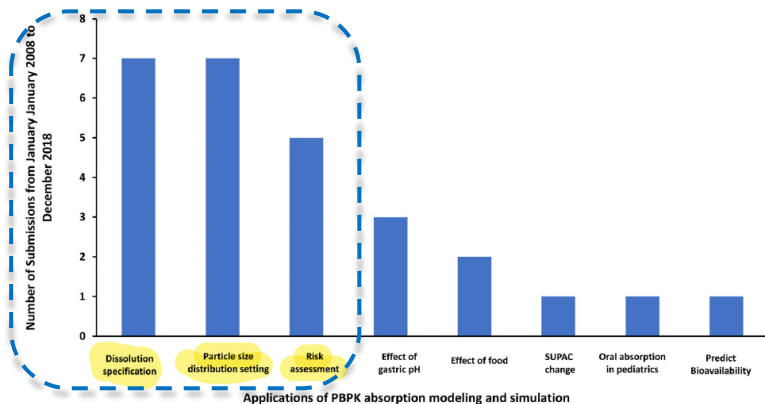


Fig. 2. Applications of PBPK absorption modeling and simulations in the new drug applications submissions\*. Abbreviations: SUPAC, scale-up and post-approval changes. \*Note that in some cases, the same model was used for multiple purposes, e.g., setting of both particle size specification and dissolution acceptance criteria

Pharmaceutical Research (2022) 39:1681–1700  
<https://doi.org/10.1007/s11095-022-03280-4>

EXPERT REVIEW

## The Use of Physiologically Based Pharmacokinetic Analyses—in Biopharmaceutics Applications -Regulatory and Industry Perspectives

Om Anand<sup>1</sup> · Xavier J. H. Pepin<sup>2</sup> · Vidula Kolhatkar<sup>1</sup> · Paul Seo<sup>3</sup>

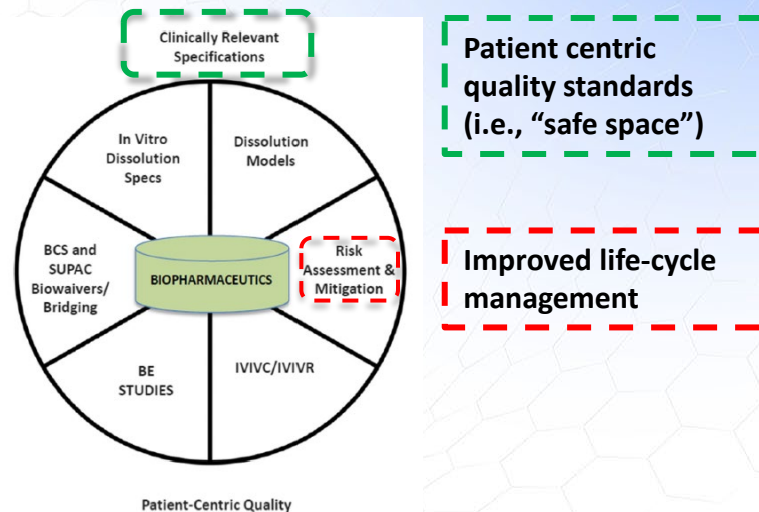


Fig. 2 Regulatory impact of Biopharmaceutics Modeling.

# PBBM – Industrial Case Studies, Recent Publications

Journal of Pharmaceutical Sciences 000 (2021) 1–11



Contents lists available at ScienceDirect

Journal of Pharmaceutical Sciences

journal homepage: [www.jpharmsci.org](http://www.jpharmsci.org)

Pharmaceutics, Drug Delivery and Pharmaceutical Technology

Establishing the Bioequivalence Safe Space for Immediate-Release Oral Dosage Forms using Physiologically Based Biopharmaceutics Modeling (PBBM): Case Studies

Tycho Heimbach<sup>a</sup>, Filippos Kesisoglou<sup>a,\*</sup>, Jasmina Novakovic<sup>b</sup>, Christophe Tistaert<sup>c</sup>, Martin Mueller-Zsigmondy<sup>d</sup>, Sivacharan Kollipara<sup>e</sup>, Tausif Ahmed<sup>e</sup>, Amitava Mitra<sup>f</sup>, Sandra Suarez-Sharp<sup>g</sup>

Pharmaceutical Research  
<https://doi.org/10.1007/s11095-022-03319-6>



EXPERT REVIEW

Physiologically Based Pharmacokinetics Modeling in Biopharmaceutics: Case Studies for Establishing the Bioequivalence Safe Space for Innovator and Generic Drugs

Di Wu<sup>1</sup> · Maitri Sanghavi<sup>2</sup> · Sivacharan Kollipara<sup>3</sup> · Tausif Ahmed<sup>3</sup> · Anuj K Saini<sup>2</sup> · Tycho Heimbach<sup>1</sup>

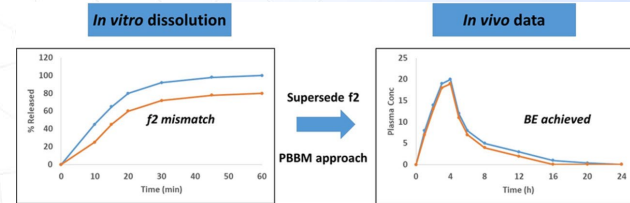
PHARMACOKINETICS, PHARMACODYNAMICS AND DRUG TRANSPORT AND METABOLISM | VOLUME 111, ISSUE 12,

P3397-3410, DECEMBER 2022 Download Full Issue

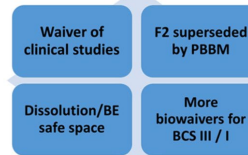
Utility of Physiologically Based Biopharmaceutics Modeling (PBBM) in Regulatory Perspective: Application to Supersede f2, Enabling Bioequivalency & Creation of Dissolution Safe Space

Adithya Karthik Bhattiprolu · Sivacharan Kollipara · Tausif Ahmed · Rajkumar Boddu · Siddharth Chachad

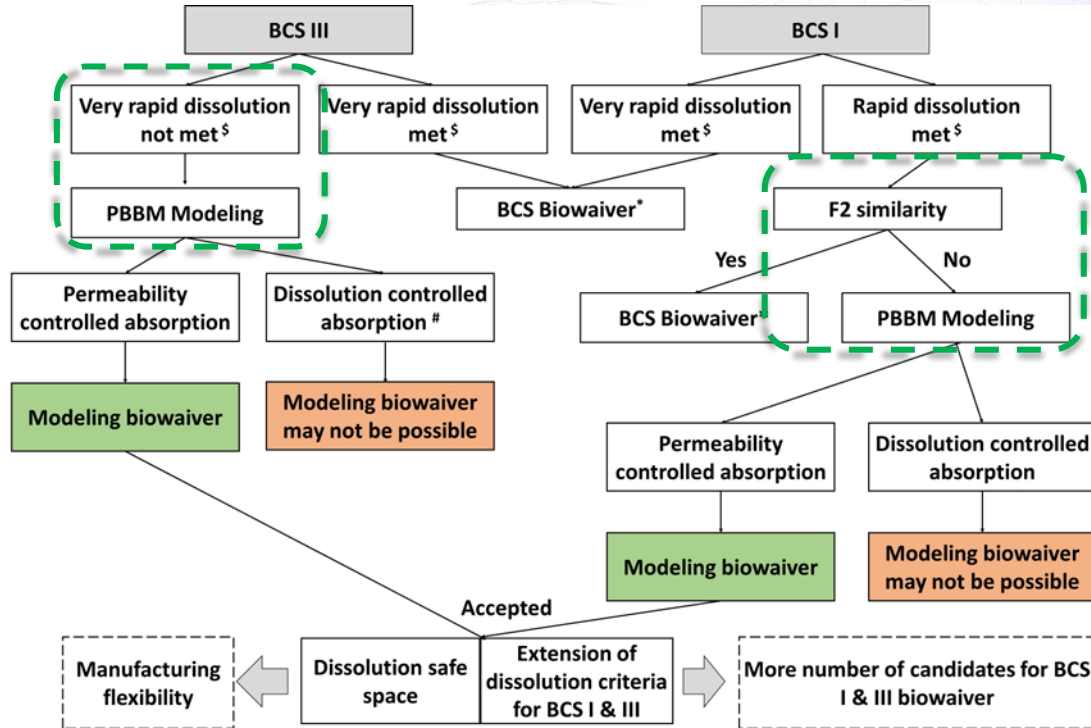
Published: September 09, 2022 • DOI: <https://doi.org/10.1016/j.xphs.2022.09.003> Check for updates



Regulatory applications



# Biowaiver Strategies for BCS III and BCS I Drugs: Decision Tree



Adithya Karthik Bhattiprolu , Sivacharan Kollipara , Tausif Ahmed , Rajkumar Boddu , Siddharth Chachad , Utility of Physiologically Based Biopharmaceutics Modeling (PBBM) in Regulatory Approval: Application to Supersede f2, Enabling Biowaivers & Creation of Dissolution Safe Space, *Journal of Pharmaceutical Sciences* (2022)

Ahmed T. (2023) MIDD+ Virtual Conference

\* Provided other criteria for biowaiver is met as per ICH M9

<sup>§</sup> very rapid dissolution (>85% in 15 min), rapid dissolution (>85% in 30 min)

<sup>#</sup> May not be applicable for BCS III



# Summary of PBPK/PBBM Applications with Regulatory Impact



A strategy for **preclinical formulation development** using GastroPlus™ as pharmacokinetic simulation tool and a statistical screening design applied to a dog study

Martin Kuentz<sup>1</sup>, Sonja Nick, Neil Parrott, Dieter Röhlisberger  
 F. Hoffmann-La Roche Ltd., Pharmaceutical and Analytical R&D, Bldg. 40b, 072/338, Grenzachstr., CH-4070 Basel, Switzerland

Clinical Pharmacokinetics (2019) 58:727-746  
<https://doi.org/10.1007/s40262-019-00741-9>  
 REVIEW ARTICLE

Physiologically Based Pharmacokinetic Modelling for **First-In-Human Predictions**: An Updated Model Building Strategy Illustrated with Challenging Industry Case Studies

Neil A. Miller<sup>1</sup>, Micaela B. Reddy<sup>2</sup>, Aki T. Heikkinen<sup>3</sup>, Viera Lukacova<sup>4</sup>, Neil Parrott<sup>5</sup>

Prediction of **pH-Dependent Drug-Drug Interactions** for Basic Drugs using Physiologically based Biopharmaceutics Modeling: Industry Case Studies

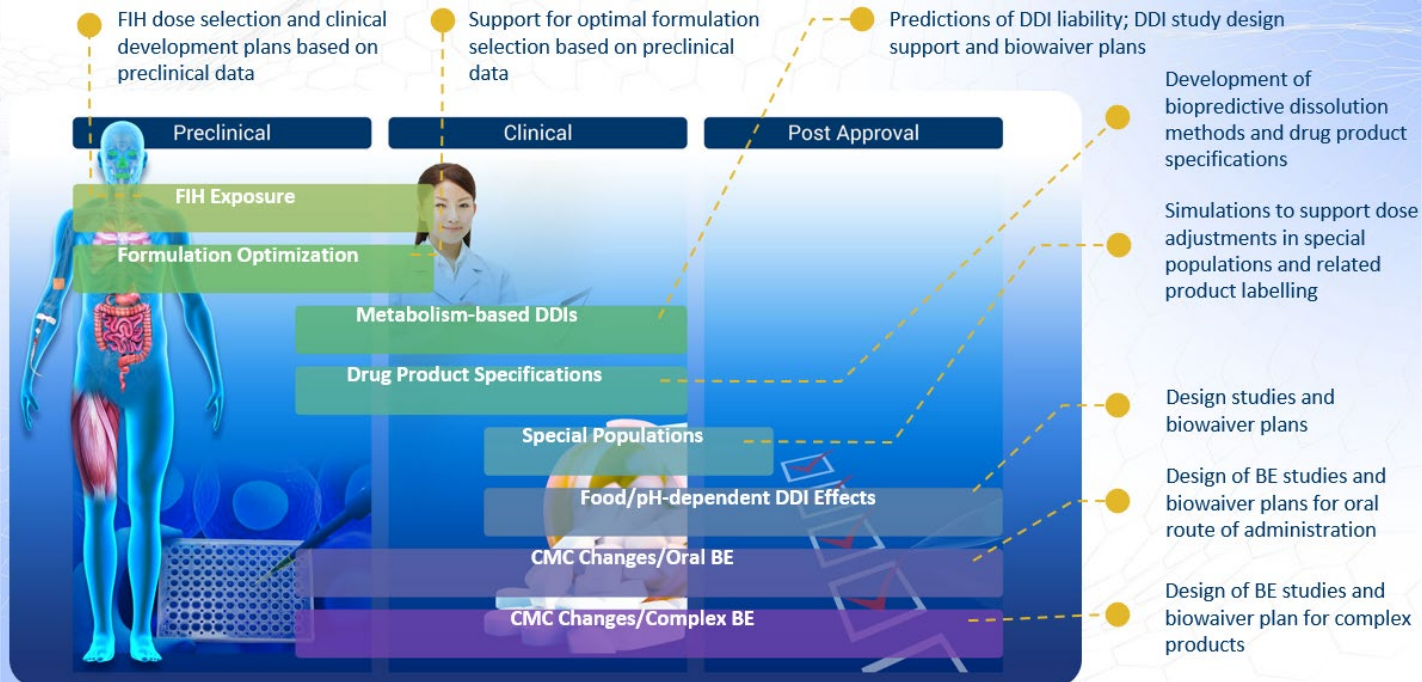
Amitava Mitra, Neil Parrott, Neil Miller, Richard Lloyd, Christophe Tistaert, Tycho Heimbach, Yan Ji, Filippos Kesiosoglou

PII: S0022-3549(19)30797-X

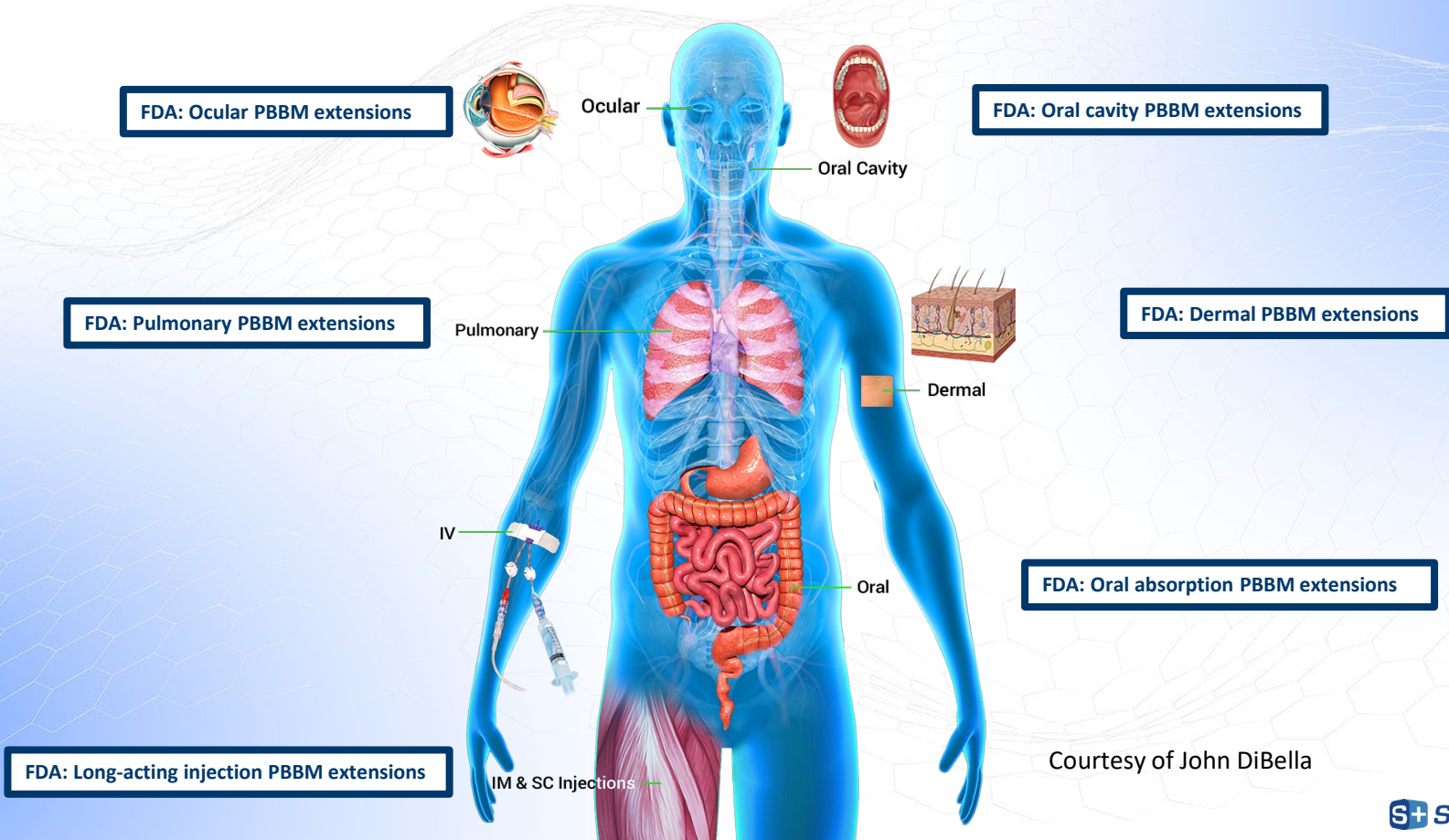
DOI: <https://doi.org/10.1016/j.xphs.2019.11.017>

Reference: XPHS 1813

To appear in: *Journal of Pharmaceutical Sciences*



# PBBM Beyond Oral Administration: Collaborative Projects with FDA



Courtesy of John DiBella

# Acknowledgments

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Thanks to our client Elevar/Hengrui/Luzserna for the opportunity to support their biowaiver for clinical DDI studies and especially for being open to present the results in public domain